

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number

10/619,657

Filing Date

July 14, 2003

First Named Inventor

Qingwen Cheng, et al.

Art Unit

2135

Examiner Name

Hosuk Song

Attorney Docket Number

15437-0696

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts under 37
CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CDAfter Allowance Communication
to TCAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please
Identify below):
Acknowledgement Postcard**Remarks**The Director is hereby authorized to charge any additional fee(s) or underpayments of
fee(s) under 37 CFR 1.16 and 1.17 to Deposit Account Number **50-1302**
Deposit Account Name: Hickman Palermo Truong & Becker LLP**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Hickman Palermo Truong & Becker LLP

Signature

Printed name

Bobby K. Truong

Date

January 30, 2007

Reg. No.

37,499

CERTIFICATE OF TRANSMISSION/MAILINGI hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with
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the date shown below:

Signature

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Annette Jacobs

Date

January 30, 2007

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

P8959-US-NP

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 600.00

Complete if Known

Application Number	10/619,657
Filing Date	July 14, 2003
First Named Inventor	Qingwen Cheng, et al.
Examiner Name	Hosuk Song
Art Unit	2135
Attorney Docket Number	15437-0696

METHOD OF PAYMENT (check all that apply)
☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

Deposit Account _____ Deposit Account Number: 50-1302 Deposit Account Name: Hickman Palermo Truong & Becker LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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☒ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments
 under 37 CFR 1.16 and 1.17

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FEE CALCULATION
1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description		Small Entity	
		Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)		50	25
Each independent claim over 3 (including Reissues)		200	100
Multiple dependent claims		360	180
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
26	- 41 or HP = 0	x	= 0.00
HP = highest number of total claims paid for, if greater than 20.			
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
8	- 8 or HP = 3	x 200.00	= 600.00
HP = highest number of independent claims paid for, if greater than 3			

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

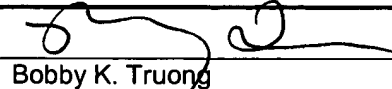
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) _____

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 37,499	Telephone (408) 414-1234
Name (Print/Type)	Bobby K. Truong		Date January 30, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Qingwen Cheng, et al.

Serial No.: 10/619,657

Filed: July 14, 2003

For: METHOD AND SYSTEM FOR PROVIDING
AN OPEN AND INTEROPERABLE
SYSTEM

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Confirmation No.: 7167

Group Art Unit No.: 2135

Examiner: Song, Hosuk

RESPONSE PURSUANT TO 37 C.F.R. § 1.111

Sir:

This is in response to the Office Action mailed November 1, 2006, the shortened statutory period for which runs until February 1, 2007. This response is presented in the format allowed by the Notice of the Office of Patent Legal Administration issued January 31, 2003.

02/02/2007 CNEGA1 00000037 10619657
01 FC:1201 600.00 OP